PTO/SB/21 (01-08)

Approved for use through 1/31/2008. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORMOTO (to be used for all correspondence after initial filing)		Application Number	10/716,629			
		Filing Date	November 20, 2003			
		First Named Inventor	Kang Soo SEO			
		Art Unit	2621			
JAN 1 4 20	וע	Examiner Name	H. Shibru			
Total Number of Pages in TNS Submission		Attorney Docket Number	46500-000558/US			
	ENCLO	SURES (check all that apply)				
Fee Transmittal Form		g(s)	After Allowance Communication to Technology Center (TC)			
	Licensi	ng-related Papers	Appeal Communication to Board of Appeals and Interferences			
Amendment / Reply		1	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)			
After Final		i to Convert to a onal Application	Proprietary Information			
Affidavits/declaration(s)	Power Change	of Attorney, Revocation e of Correspondence Address	Status Letter			
Extension of Time Request		al Disclaimer	Other Enclosure(s) (please identify below):			
Express Abandonment Request		st for Refund mber of CD(s)	Form HDP-1449, listing and submitting 2 ref's			
Information Disclosure Statement		,				
Certified Copy of Priority Document(s)		Remarks The Commissioner is hereby authorized to charge any additional fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 08-0750. A duplicate copy of this sheet is enclosed.				
Response to Missing Parts/ Incomplete Application	Mail Stop:	AMENDMENT	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			
Response to Missing Parts under 37 CFR 1.52 or 1.53						
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm Name Harness, Dickey &	Harness, Dickey & Pierce, P.L.C.					
Signature	247/					
Printed name Gary D. Yacura						

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Reg. No.

35,416

January 14, 2008

Date

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

FEE	TRA	AN	SM	ITT	AL
1	for l	FY	200	8(

Effective 2/8/2006. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT 180

		The state of the s
	Complete if Known	DIP
Application Number	10/716,629	1
Filing Date	November 20, 2003	3 JAN 1 4 2000 8
First Named Inventor	Kang Soo SEO	
Examiner Name	H. Shibru	To A
Art Unit	2621	MDEMARK
Attorney Docket No.	46500-000558/US	

METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)						
Bout Boutset Blazza Botto Blazza			3. ADDITIONAL FEES						
☑ Check ☐ Credit card ☐ Money ☐ Other ☐ None Order			Large Entity Small Entity						
☑ Deposit Account:			Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	
Deposit				1051	130	2051	65	Surcharge - late filing fee or oath	
Account 08-0750 Number			1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.		
6.0				1053	130	1053	130	Non-English specification	
Deposit Account	Harmore	Dickey & Pierce, P.L.C.		1812	2,520	1812	2,520	For filing a request for reexamination	
Name	namess, i	Dickey a Fierce, F.L.C.]	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
		o: (check all that apply) elow		1805	1,840*	1805	1.840*	Requesting publication of SIR after Examiner action	
		(s) during the pendency of this applie	ation	1251	120	2251	60	Extension for reply within first month	
to the above-ide		low, except for the filing fee it account.		1252	460	2252	230	Extension for reply within second month	
	FE	E CALCULATION		1253	1,050	2253	525	Extension for reply within third month	
1. BASIC FILING FEE			1254	1,640	2254	820	Extension for reply within fourth month		
Large Entity Fee Fee	Small Entity Fee Fee			1255	2,230	2255	1,115	Extension for reply within fifth month	
Code (\$)	Code (\$)		aid	1401	510	2401	255	Notice of Appeal	
1011 310	2011 155			1402	510	2402	255	Filing a brief in support of an appeal	
1012 210	2012 105	Design filing fee		1403	1,030	2403	515	Request for oral hearing	
1013 210	2013 105	5 Plant filing fee		1452	510	2452	255	Petition to revive - unavoidable	
1014 310	2014 155	Reissue filing fee		1453	1,540	2453	770	Petition to revive – unintentional	
1005 210	2005 105	Provisional filling fee		1462	400	1462	400	Petition fee under 37 CFR 1.17(f)	
'	01107	0741 (4)		1463	200	1463	200	Petition fee under 37 CFR 1.17(g)	
	2081	OTAL (1) (\$) 0		1464	130	1464	130	Petition fee under 37 CFR 1.17(h)	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE			1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)		
			ee aid	1806	180	1806	180	Submission of Information Disclosure Stmt	180
Total Claims 2: Independent 5	-5 **	-25 ** = 0 X = 0			40	8021	40	Recording each patent assignment per property (times number of properties)	
Multiple					810	2809	405	Filing a submission after final rejection (37 CFR § 1.129(a))	,
Dependent Large Entity	ı Small E			1810	810	2810	405	For each additional invention to be examined (37 CFR § 1.129(b))	
Fee Fee Code (\$)	Fee Code	Fee Fee Description		1801	810	2801	405	Request for Continued Examination (RCE)	
1202 50	2202	25 Claims in excess of 20		Other f	ee (speci	ifv)			
1201 210	2201	105 Independent claims in excess	of 3	Other fee (specify) *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)180					
1203 370	2203	185 Multiple dependent claim, if r	ot paid	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)180 4. SEARCH/EXAMINATION FEES					
1204 210	2204	105 ** Reissue independent clain	s over		510	2111	255	Utility Search Fee	
		original patent ** Reissue claims in excess of	of 20 and	1111	100	2112	255 50	Design Search Fee	
1205 50	2205	over original patent	Lu anu	1113	310	2112	155	Plant Search Fee	
			\neg	1114	510	2114	255	Reissue Search Fee	-
		SUBTOTAL (2) (\$) 0		1311	210	2311	105	Utility Examination Fee	\vdash
			1312	130	2312	65	Design Examination Fee		
			1313	160	2313	80	Plant Examination Fee		
l				1314	620	2314	310	Reissue Examination Fee	
**or number pre	viously paid, if	greater; For Reissues, see above		$oldsymbol{ol}}}}}}}}}}}}}}}}}}$	14			SUBTOTAL (4) (\$)0	
SUBMITTED BY	SUBMITTED BY Complete (if applicable)								
300									

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/716,629

Group:

2621

Filing Date:

November 20, 2003

Examiner:

H. Shibru

Applicant:

Kang Soo SEO et al.

Title:

RECORDING MEDIUM HAVING DATA STRUCTURE FOR

MANAGING REPRODUCTION OF DATA RECORDED THEREOF

AND RECORDING AND REPRODUCING METHODS AND

APPARATUSES

kttorney Docket:

46500-000558/US

Customer Service Window Randolph Building 401 Dulany Street Alexandria, VA 22314 Mail Stop Amendment January 14, 2008

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS, AND OTHER INFORMATION

The patents, publications and other information submitted for consideration by the Office (except unpublished U.S. patent applications) are listed on Form PTO-1449 attached hereto.

II. COPIES

A. Submitted herewith is a legible copy of (i) each foreign patent; (ii) each publication or that portion which caused it to be listed, other than U.S. patents and U.S. patent application publications unless required by the Office; (iii) for each cited pending unpublished U.S. application listed below in Section IV, the application specification including the claims, and any drawing of the application, or that portion of the application which caused it to be listed including any claims directed to that portion; and (iv) all other information or that portion which caused it to be listed.

B. Any patents, publications or other information which are listed on Form PTO-1449 or on the copies of Form PTO-892, but which are not enclosed herewith, were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:

U.S. Serial Number

01/15/2008 CNOUTERS 00000035 10716629 01 FC:1806 180.00 CP

U.S. Application No. 10/716,629 Attorney Docket No. 46500-000558/US Page 2 of 5

C. Because the present application was/is being filed after June 30, 2003, no copies of the U.S. patents or U.S. patent application publications which are listed on the attached Form PTO-1449 are enclosed pursuant to the waiver of 37 C.F.R. § 1.98(a)(2)(i). Any foreign patent documents or non-patent literature listed on the attached Form PTO-1449 are enclosed herewith.					
D. This is a PCT application in the entry of the National Phase in the United States. A copy of the International Search Report is attached for the Examiner's information. The documents listed on the International Search Report are listed on the attached Form PTO-1449 for consideration by the Examiner and for listing on any patent resulting from this application. Since the International Search Report was from the US, EPO, or JPO search authorities, copies of these references should have been supplied to the USPTO under the trilateral agreement and are believed to be in the file of the above-identified application. (MPEP 1893.03(g))					
CONCISE EXPLANATION OF THE RELEVANCE (check at least one box)					
A. Except as may be indicated below in (B), all of the patents, publications or other information are in the English language (concise explanation not required).					
B. \boxtimes A concise explanation of the relevance of each patent, publication or other information listed that is not in the English language is as follows (see 37 C.F.R. § 1.98(a)(3)):					
1. Toreign patent office communication from a counterpart foreign application:					
2. English abstracts are provided for:					
3. Other: An English-language abstract is not available for Chinese Patent Application No. CN 1304533 A, however the European Patent Publication No. EP 1 041 566 B1 is a counterpart to Chinese Patent Application No. CN 1304533 A. Therefore, Applicant has provided a copy of European Patent Publication No. EP 1 041 566 B1 for the Examiner's convenience.					
C. The following additional information is provided for the Examiner's consideration:					
CROSS REFERENCE TO RELATED APPLICATION(S)					
A. The Examiner is advised that the following co-pending application(s) contain(s) subject matter that may be related to the present application. By bringing this(these)					

III.

IV.

application(s) to the Examiner's attention, Applicant(s) does(do) not waive the confidentiality provisions of 35 U.S.C. § 122.

Serial No. Filing Date Art Unit

V .	THIS IDS IS BEING FILED UNDER
	A. 37 C.F.R. § 1.97(b): (check only one box)
	1. within three months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. § 1.53(d) (37 C.F.R. § 1.97(b)(1)). No fee or certification is required.
	2. within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application (37 C.F.R. § 1.97(b)(2)). No fee or certification is required.
	3. before the mailing of a first Office Action on the merits (37 C.F.R. § 1.97(b)(3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below; or, if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).
	4. before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No fee or certification is required.
	B. 37 C.F.R. § 1.97(c): (check <u>only</u> one box)
	before the mailing date of either any Final Office Action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution.
	1. No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).
	2. See the certification below. No fee is required.
	C. 37 C.F.R. § 1.97(d):
	after the mailing date of either a Final Office Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, yet on or before payment of the issue fee.

U.S. Application No. 10/716,629 Attorney Docket No. 46500-000558/US Page 4 of 5

	1. See the certification below. A fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).
VI.	CERTIFICATION UNDER 37 C.F.R. § 1.97(e): (check only one box)
	The undersigned hereby certifies that:
	A. a each item of information contained in this IDS was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(1)). See further statement under 37 C.F.R. § 1.704(d) below in section VII, if applicable; or
	B. no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(2)).
	C. Some of the items of information were first cited in a communication from a foreign patent office. As to this information, the undersigned hereby certifies that each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS.
VII.	STATEMENT UNDER 37 C.F.R. § 1.704(d)
	The undersigned hereby states that:
	each item of information contained in this IDS was cited in a communication from a patent office in a counterpart application and this communication was not received by dividual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this
VIII.	PAYMENT OF FEES (check only one box)
	A. \[\] No fee is believed to be due in light of the above-provided discussion.
	B A check in the amount of \$180.00 is enclosed for the above-identified fee

U.S. Application No. 10/716,629 Attorney Docket No. 46500-000558/US Page 5 of 5

C. Please charge Deposit Account No. 08-0750 in the amount of \$180.00 for the above-indicated fee. A duplicate copy of this paper is attached.

The above references are being cited only in the interest of candor and without any admission that they constitute statutory prior art, contain matter which anticipates the invention, or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art. Furthermore, this Information Disclosure Statement shall not be construed as a representation that a search has been made.

If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 08-0750.

Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. §§ 1.16 or 1.17 to Deposit Account No. 08-0750.

By

Respectfully submitted,

HARNESS, DICKEY, & PIERCE, P.L.C.

P.O. Box 8910

Reston, Virginia 20195

(703) 668-8000

JHA GDY/JHA/cm

Enclosures:



Form HDP-1449, listing and submitting 2 ref's (1 sheet)

References

- 1. Chinese Patent Application No. CN 1304533 A
- 2. European Patent Publication No. EP 1 041 566 B1